Bayesian Phylogenetic Estimation of Clade Ages Supports Trans-Atlantic Dispersal of Cichlid Fishes

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Abstract—Divergence-time estimation based on molecular phylogenies and the fossil record has provided insights into fundamental questions of evolutionary biology. In Bayesian node dating, phylogenies are commonly time-calibrated through the specification of calibration densities on nodes representing clades with known fossil occurrences. Unfortunately, the optimal shape of these calibration densities is usually unknown and they are therefore often chosen arbitrarily, which directly impacts the reliability of the resulting age estimates. As possible solutions to this problem, two nonexclusive alternative approaches have recently been developed; the “fossilized birth–death” (FBD) model and “total-evidence dating.” While these approaches have been shown to perform well under certain conditions, they require including all (or a random subset) of the fossils of each clade in the analysis, rather than just relying on the oldest fossils of clades. In addition, both approaches assume that fossil records of different clades in the phylogeny are all the product of the same underlying fossil sampling rate, even though this rate has been shown to differ strongly between higher level taxa. Here we develop a flexible new approach to Bayesian age estimation that combines advantages of node dating and the FBD model. In our new approach, calibration densities are defined on the basis of first fossil occurrences and sampling rate estimates that can be specified separately for all clades. We verify our approach with a large number of simulated data sets, and compare its performance to that of the FBD model. We find that our approach produces reliable age estimates that are robust to model violation, on par with the FBD model. By applying our approach to a large data set including sequence data from over 1000 species of teleost fishes as well as 147 carefully selected fossil constraints, we recover a timeline of teleost diversification that is incompatible with previously assumed vicariant diversions of freshwater fishes. Our results instead provide strong evidence for transoceanic dispersal of cichlids and other groups of teleost fishes. [Bayesian inference; calibration density; Cichlidae; fossil record; marine dispersal; phylogeny; relaxed molecular clock.]

In phylogenetic analyses, molecular sequence data are commonly used to infer not only the relationships between species, but also the divergence times between them. The estimation of divergence times in a phylogenetic context is usually based on an assumed correlation between the age of species separation and the number of observed genetic differences, that is, a “molecular clock” (Zuckerkandl and Pauling 1962). Evidence for the existence of molecular clocks was initially derived from relative rate tests (Sarich and Wilson 1967) and has since been corroborated by a large body of literature (e.g., Wilson et al. 1977; Bromham and Penny 2003). However, it has been shown that the rate of the molecular clock often differs between lineages (Drummond et al. 2006) and that it can depend on factors including body size, metabolic rate, and generation time (Martin and Falmungi 1993; Nabholz et al. 2008).

To allow the estimation of absolute divergence dates from sequence data, a calibration of the rate of the molecular clock is required. This calibration can be obtained from serially sampled DNA sequences, if the range of sampling times is wide enough to allow accumulation of substantial genetic differences between the first and last sampling event (Drummond et al. 2003). This is often the case for rapidly evolving viruses (Smith et al. 2009; Faria et al. 2014; Gire et al. 2014), and is starting to become possible to some degree for other organisms thanks to advances in ancient DNA (Orlando et al. 2014) and protein sequencing (Welker et al. 2015) technology. However, for macroevolutionary studies aiming to estimate divergence times on the order of tens or hundreds of millions of years, other sources of calibration information are required. Commonly, the age of the oldest known fossil of a given clade is then used to calibrate the age of this clade, an approach often referred to as “node dating” (Ronquist et al. 2012; Grimm et al. 2015). However, due to the incompleteness of the fossil record, clade origin will almost always predate the preservation of its oldest known fossil. As a result, fossils can provide absolute minimum clade ages, but are usually less informative regarding the maximum ages of clades (Benton and Donoghue 2007). In a Bayesian framework for phylogenetic time calibration, the uncertainty regarding clade ages can be accomodated by the specification of “calibration densities” (also referred to as “node age priors”) with a hard lower bound set to the age of the earliest fossil record and a soft upper bound as provided by exponential, lognormal, or gamma distributions. Unfortunately, the optimal parameterization of these distributions is usually unknown but has been shown to have a strong influence on the resulting age estimates. 
(Ho and Phillips 2009). In addition, the effect of inaccurate calibration densities can only partially be corrected with larger molecular data sets (Yang and Rannala 2006).

Other shortcomings of node dating have been identified. As described by Heled and Drummond (2012), calibration densities interact with each other and with the tree prior to produce marginal prior distributions of node ages that may differ substantially and in unpredictable ways from the specified calibration density. The application of recently introduced calibrated tree priors can compensate for this effect, but becomes computationally expensive when more than a handful of calibrations are used in the analysis (Heled and Drummond 2015). Node dating has also been criticized for ignoring most of the information from the fossil record, as only the oldest known fossils of each clade are used to define calibration densities (Ronquist et al. 2012, but see Marshall 2008; Claramunt and Cracraft 2015). Furthermore, node dating relies on the correct taxonomic assignment of fossils to clades, and may produce misleading age estimates when fossils are misplaced on the phylogeny (Marshall 2008; Forest 2009; Ho and Phillips 2009).

As alternatives to node dating, two approaches have recently been developed. In “total-evidence” dating, fossils are not explicitly assigned to any clades, but are instead included as terminal taxa. The position of these tips is determined as part of the phylogenetic analysis, based on morphological character data that are required for all included fossils and at least some of the extant taxa (Pyron 2011; Ronquist et al. 2012). Branch lengths, and thus divergence times between extant and extinct species are inferred under the assumption of a “morphological clock,” usually based on the Mk model of Lewis (2001) (Pyron 2011; Beck and Lee 2014; Arcila et al. 2015). The total-evidence approach is conceptually appealing as it is able to account for uncertainty in the phylogenetic position of fossils, and allows a more complete representation of the fossil record than node dating. However, this approach has been found to result in particularly ancient age estimates and long “ghost lineages” when applied to empirical data sets, leading some authors to question the suitability of morphological clocks for phylogenetic time calibration (Beck and Lee 2014; Arcila et al. 2015; O’Reilly et al. 2015). The developments of more realistic sampling schemes (Hohna et al. 2011) and advanced models of morphological character evolution (Wright et al. 2016) are likely to improve age estimates obtained with total-evidence dating, but have so far been applied only rarely (Klopfstein et al. 2015; Zhang et al. 2016). Importantly, due to the requirement of a morphological character matrix, total-evidence dating is limited to groups that share sufficient numbers of homologous characters (Grimm et al. 2015) so that its application is practically not feasible for higher level phylogenies combining very disparate taxa from different taxonomic orders or classes.

The “fossilized birth-death (FBD) process” (Stadler 2010) provides an elegant framework in which fossils are used as terminal taxa and thus more than the oldest fossil can be used for each clade. In this model, fossils as well as extant taxa are considered as the outcome of a common process based on the four parameters speciation rate \( \lambda \), extinction rate \( \mu \), proportion of sampled extant taxa \( p \), and the fossil sampling rate \( \psi \). It is assumed that the fossils that are ultimately sampled and included in the study have been preserved along branches of the complete species tree following a constant-rate Poisson process. Unlike in total-evidence dating, a morphological character matrix is not required to place fossil taxa in the phylogeny (but can be used for this purpose; Gavrushkina et al. 2017; Zhang et al. 2016). Instead, fossils are assigned to clades through the specification of topological constraints. The FBD process was first implemented in the Bayesian divergence-time estimation program DPPDIV (Heath et al. 2014), which requires the specification of a fixed tree topology, point estimates of fossil ages, and constant rates of diversification and sampling throughout the tree. All these limitations have subsequently been overcome in the implementations of the FBD process as a tree prior in the “Sampled Ancestors” package for BEAST (Bouckaert et al. 2014; Gavrushkina et al. 2014) and in the software MrBayes (Huelsenbeck and Ronquist 2001; Ronquist and Huelsenbeck 2003; Zhang et al. 2016). These implementations allow one to specify priors on fossil ages to account for the often large uncertainties associated with them as well as the specification of time intervals within which rates are assumed constant, but between which they are free to vary. However, a limitation that remains also in newer FBD implementations is the assumption that all clades existing in a given time interval are subject to the same rates of diversification and fossil sampling. Especially in higher level phylogenies, this assumption is unlikely to be met, as substantial clade-specific differences in these rates have been identified in many groups (Foote and Sepkoski 1999; Alfaro et al. 2009; Jetz et al. 2012; see also Supplementary Table S1 available on Dryad at http://dx.doi.org/10.5061/dryad.199y4), suggesting that time estimates obtained on the basis of this assumption may be misleading. The FBD model further assumes that the fossils included in the analysis represent either the complete set or a random sample of the known fossil record of a clade. However, the use of a complete or randomly sampled representation of the fossil record may be impractical with higher level phylogenies due to the enormous number of fossil occurrences known for many higher taxa, for example, for mammals (> 90,000), birds (> 5000), and insects (> 40,000; www.paleobiol.org). Presumably as a consequence of these difficulties, node dating has remained popular despite its drawbacks, and was applied in all recent phylogenomic time-tree analyses of groups above the order level (dos Reis et al. 2015; Prum et al. 2015; Fernández et al. 2016).
Here, we develop a new approach for Bayesian phylogenetic divergence-time estimation that is related to node dating, but infers the optimal shape of calibration densities from a combination of the first fossil occurrence age of a given clade and independently assessed estimates of the sampling rate and the diversification rates. This approach, therefore, overcomes a major problem of node dating, the fact that calibration densities are often chosen arbitrarily despite their strong influence on the resulting age estimates (Heath et al. 2014). In contrast to node dating, calibration densities in our approach are not directly applied to node ages, but to the age of origin of clades, and as a consequence, knowledge about the sister groups of calibrated clades is not required. Our approach is suitable for time calibration of higher level phylogenies combining groups with different sampling characteristics, as the sampling rate can be specified independently for each clade. We have implemented our method in a new package for BEAST called “CladeAge,” and we will refer to calibration densities obtained with it as “CladeAge calibration densities” throughout the article. Using a wide range of simulations, we assess the optimal scheme by which to select clades for calibration, and we show that the application of CladeAge calibration densities can result in age estimates comparable or better than those produced with the FBD model if the input rate estimates are correctly specified and only the oldest fossil of each clade is used for calibration.

We use our new approach together with a large and partially new molecular data set for 1187 teleost fishes to address the long-standing question whether freshwater cichlid fishes from India, Madagascar, Africa and the Neotropics diverged before or after continental separation (Chakrabarty 2004; Sparks and Smith 2005; Genner et al. 2007; Azuma et al. 2008; Friedman et al. 2013; McMahan et al. 2013). By rigorous examination of the paleontological literature, we identify the earliest fossil records for 147 out of 362 (41%) well-characterized clades in our phylogeny. Our results strongly support divergence of freshwater fishes long after continental separation, implying multiple marine dispersal events not only in cichlid fishes but also in other freshwater groups included as out-groups in our phylogeny.

### Clade Age Calibration Densities

#### Calculating Clade Age Calibration Densities

Here, our goal is to design calibration densities that reproduce the probability density for a clade originating at time \(t_0\) given the age of its oldest fossil \(f_1\). To estimate this probability density, we assume that the probability density of a clade being \(t\) time units younger than its oldest fossil is identical to the probability density \(f_1(t)\) of the oldest fossil being \(t\) time units younger than the clade origin. This is equivalent to assuming a uniform prior probability distribution for the age of the clade, which is justified for calibration densities, as these probability densities will be multiplied with a (nonuniform) tree prior at a later stage, during the divergence time analysis. Thus, any nonuniform prior assumptions about the clade origin can be incorporated via the tree prior. We further assume that speciation, extinction, and fossil sampling are all homogeneous Poisson processes with rates \(\lambda_f, \mu_t,\) and \(\Psi\), respectively.

For a single lineage that does not speciate or go extinct, the probability to remain unsampled until time \(t\) is

\[
p_u(t_1,t_2) = e^{-\Psi t_2} (1 - e^{-\Psi t_1})
\]

resulting in age estimates comparable or better than those estimated with the FBD model if the input rate estimates are correctly specified and only the oldest fossil of each clade is used for calibration.

If we now allow for the possibility that the lineage has diversified into \(N\) species extant at time \(t_1\), then the probability of the clade not being sampled before time \(t_1\) is

\[
p_u(t_1,t_2) = e^{-\Psi S(t_2)} (1 - e^{-\Psi S(t_1)}) = e^{-\Psi S(t_2)} + e^{-\Psi S(t_1)}\]

(3)

In this case, the probability density for the clade being sampled for the first time exactly at time \(t_1\) is

\[
f_1(t_1) = \lim_{t_2 \to t_1} \frac{e^{-\Psi S(t_2)} - e^{-\Psi S(t_1)}}{t_2 - t_1}
\]

where the first derivative of \(S(t)\) at time \(t_1\) is

\[
S(t_1) = \lim_{t_2 \to t_1} \frac{S(t_2) - S(t_1)}{t_2 - t_1}
\]

By ignoring the possibility of speciation or extinction between \(t_1\) and \(t_2\) (which is justified at the limit \(t_2 \to t_1\)), we get \(S(t_2) = S(t_1) + N \times (t_2 - t_1)\) and thus \(S(t_1) = N\).
which gives us

\[ f_s(t_1) = \psi Ne^{-\phi S(t_1)} \]  

(6)

If we now take into account the stochastic nature of \( S \) as a variable resulting from a birth–death process with parameters \( \lambda \) and \( \mu \), we have to rewrite Equation (6) as

\[ f_s(t_1) = E[\psi Ne^{-\phi S(t_1)} | N \geq 1] \]  

(7)

where we condition on the survival of at least one species at time \( t_1 \), which is necessary to allow sampling at this time.

Unfortunately, we cannot solve \( f_s(t_1) \) analytically. To approximate \( f_s(t_1) \), CladeAge generates 10,000 birth–death trees based on estimates of the speciation rate \( \lambda \) and the extinction rate \( \mu \), infers \( S(t_1) \) in each of these trees as the sum of all branch lengths between clade origin and \( t_1 \), and calculates \( \psi Ne^{-\phi S(t)} \) if the birth–death process resulted in \( N \geq 1 \). According to the law of large numbers, the mean of a large sample converges to its expected value, therefore the probability density \( f_s(t_1) \) can be approximated by the mean of all values calculated for \( \psi Ne^{-\phi S(t)} \). This process is repeated for 100 time points evenly spaced between zero and a maximum time \( t_{\text{max}} \), which is predetermined so that the probability density for the clade being sampled at the very start of the process \( f_s(0) \) (more specifically, the approximations \( N(t) = e^{(\lambda - \mu) t} \) and \( S(t) = \int_0^t N(s)ds \)) are used to find a solution for \( f_s(t_{\text{max}}) = 0.001 \times f_s(t_0) \). The probability density \( f_s(t) \) for times \( t \) in between two of the 100 time points is estimated through interpolation from the probability densities of the two neighboring time points, using linear regression. For all times larger than \( t_{\text{max}} \), probability densities are approximated by a scaled exponential distribution that is calculated on the basis of the two largest time points and their respective probability densities \( f_s(t) \). Finally, all estimates of probability densities are scaled so that the total probability mass becomes 1.

The calculation of calibration densities, as described above, requires estimates of the fossil sampling rate, as well as of the speciation and extinction rates, which can be obtained externally, from the fossil record alone (Silvestro et al. 2014; Starrfelt and Liow 2016), or from a combination of fossil and phylogenetic information (Alfaro et al. 2009; Stadler 2011; Rabosky 2014). As diversification is commonly parameterized as “net diversification” (\( \lambda - \mu \)) and “turnover” (\( \mu / \lambda \)), and researchers often have greater confidence in estimates of net diversification and turnover than in those for speciation and extinction rates (Beaulieu and Donoghue 2013), our method accepts input in these units, and calculates \( \lambda \) and \( \mu \) from it. Uncertainty in the three parameters net diversification, turnover, and sampling rate can be expressed by specifying minimum and maximum values and is accounted for by randomly drawing from the specified ranges, for each of the 10,000 birth–death trees generated to estimate estimated probability density \( f_s \). Examples demonstrating the shape of CladeAge calibration densities, based on exactly known \( (A) \) or uncertain ages of the first fossil record \( (B) \), are shown in Fig. 1.

**FIGURE 1.** Exemplary Clade/age calibration densities. Probability densities for the age of a clade for which the earliest fossil is known to be exactly 10-myr old \( (A) \), or assumed to be between 10- and 30-myr old, with a uniform fossil age probability within this range \( (B) \). The gray area in \( B \) indicates the fossil age uncertainty. Speciation rate and extinction rates are assumed to be \( \lambda = 0.08 \) and \( \mu = 0.04 \), and sampling rates \( \psi \) are as indicated.

**Calibration Schemes for the Use of Clade Age Calibration Densities in Phylogenetic Divergence-Time Estimation**

Under the assumption of constant rates of diversification and sampling as well as a uniform prior probability for node ages, CladeAge calibration densities approximate the probability density for the age of a clade, given the age of the oldest fossil record of this clade. These probability distributions are, therefore, suitable as constraints on clade ages in Bayesian divergence-time estimation. However, in practice, it may not always be clear which clade should be used for time calibration: If a fossil represents the earliest record of not only one clade, but of multiple nested clades, CladeAge calibration densities could be used to constrain the age of origin of all these clades (we refer to this as “scheme A”), only of the most inclusive of these clades (“scheme B”), or only of the least inclusive clade (“scheme C”). As scheme B would allow one or more of the clades to appear younger than the fossil itself, it seems reasonable to specify, in addition to the CladeAge calibration
density for the most inclusive clade, the fossil age as a strict minimum age for the least inclusive clade when using this scheme. Furthermore, if two sister clades both possess a fossil record, these fossils could be used to constrain the ages of both of the two clades. However, as the ages of the two clades are necessarily linked by their simultaneous divergence, two time constraints would effectively be placed on one and the same node. Instead, it may seem more intuitive to use only the older of the two fossils for time calibration and disregard the younger fossil ("scheme D"). However, in contrast to node dating, where maximally one calibration density is placed on each node, the model used to calculate CladeAge calibration densities considers each clade individually, and it could thus be biased if the selection of clades for calibration is based on information about their sister clade. Figure 2a illustrates the four different calibration schemes.

As CladeAge calibration densities approximate the probability densities of clade ages conditional on the age of the first fossil record of this clade, they are also expected to approximate frequency distributions of observed waiting times between the origin of a clade and the appearance of the first fossil record of this clade in a sufficiently large sample of simulated phylogenetic trees. Since these waiting times can be sampled according to the above four schemes, we can determine the optimal calibration scheme by comparison of waiting time frequency distributions with CladeAge calibration densities. We simulated three times 10,000 pure-birth phylogenies with a speciation rate λ = 0.04 and a root age $t_{\text{root}}$ randomly drawn from a uniform distribution between 20 and 200 time units, conditioned on the survival of exactly 100 extant species. Assuming a Poisson process of fossil sampling, we added simulated fossil records to the branches of each of these trees, with three different sampling rates $\psi = 0.03, 0.01$. Applying the above four calibration schemes (A–D) independently, we recorded waiting times between a clade’s origin and the age of its oldest fossil in each simulated phylogeny.

Waiting time frequency distributions recorded from relatively young clades can be biased by the fact that only those waiting times shorter than the clade age can

**Figure 2.** Four alternative calibration schemes for CladeAge calibration densities. a) Assume that fossils $F_1$ and $F_2$, represented by white circles, are the oldest fossil records of clades A and B, respectively, (here, they are part of the stem groups of these clades), and that no fossils are known outside of clades A and B. Fossil $F_1$ can then be used to constrain the age of origin of clade A, marked with a black dot and the label $O_1$, while $F_2$ can be used to constrain the age of origin of clade B, marked with the label $O_2$. As clades A and B are sister lineages, $O_1$ and $O_2$ are identical in age, which means that calibration densities for $O_1$ and $O_2$ would directly interact with each other. Further assuming that $F_2$ is older than $F_1$, $F_2$ represents the first fossil record not only of clade B, but also of the more inclusive clades C and D. It could thus also be used to constrain the age of origin of these two clades, indicated by the labels $O_3$ and $O_4$, respectively. In scheme A, each fossil is used to constrain the age of origin of all clades for which this fossil represents the earliest record. In schemes B and C, each fossil is used to constrain only the age of origin of the most inclusive clade (scheme B), or only the age of origin of the least inclusive clade (scheme C), for which it represents the first occurrence (as scheme B would otherwise allow $O_3$ and $O_4$ to be younger than $F_2$, we combine CladeAge calibration densities for this scheme always with hard lower bounds defined by the fossil age; see main text). Scheme D is similar to scheme C except that only the older one of two fossils in two sister clades is used as an age constraint. b) Comparison of waiting times between clade origin and first fossil occurrence. Waiting times between clade origin and first fossil occurrence were recorded from 10,000 simulated phylogenies using schemes A–D, and a clade age threshold of $0.9 \times t_{\text{root}}$. The frequency distributions of binned waiting times are shown in gray, and CladeAge probability density distributions for the same settings are indicated with dashed black lines. The total number of waiting times sampled is given in each plot.
be recorded (otherwise the clade did not preserve at all). To assess the degree of this effect, we repeated this analysis, counting only waiting times for clades with a time of clade origin \( t_o \) above one out of four thresholds \( t_o \geq 0, 0.9 \times t_{root}, 0.5 \times t_{root}, \) and \( t_o \geq 0.9 \times t_{root} \) (including only the two clades descending from the root, per simulated phylogeny).

With the strictest clade age threshold of \( t_o = 1 \times t_{root} \), the same two waiting times per phylogeny are recorded with schemes A and B. If further divergence events occurred between the root and the fossil, the root does not represent the youngest node that can be constrained with fossils in these clades, and thus waiting times between the root and these fossils is recorded with both schemes A and B. If further divergence events occurred between the root and the fossil, the root does not represent the youngest node that can be constrained with fossils in these clades, and thus waiting times between the root and the fossil is not recorded with schemes C and D (see Fig. 2a). Differences between schemes A and B become apparent with less strict clade age thresholds, when also clades are included that do not represent the oldest possible clade to be constrained with a given fossil.

Figure 2b shows comparisons between waiting time frequency distributions and CladeAge calibration densities for a clade age threshold of \( t_o \geq 0.9 \times t_{root} \) which is sufficiently young to show differences between all schemes, but still young enough to be affected only minimally by the bias described above. Comparisons for all other tested clade age thresholds are shown in Supplementary Fig. S1 (available on Dryad). Taken together, these results show that waiting time frequency distributions deviate from the respective CladeAge distribution in most comparisons, and the degree of disagreement depends on sampling rate \( \psi \), on the clade age threshold, and on the applied scheme (A–D). However, for all but the youngest clade age thresholds, scheme A produces a frequency distribution that is virtually identical in shape to the distribution of CladeAge calibration densities. This suggests that when CladeAge calibration densities are used for time calibration, they should strictly be applied to constrain all clades for which a given fossil represents the first occurrence, even if the same fossil is used to constrain multiple nodes, and even if more than one constraint is placed on one and the same node.

**Testing CladeAge Calibration Densities with Simulated Phylogenies**

To more extensively compare the performance of the four different calibration schemes A–D, we simulated phylogenetic data sets including fossil records and sequence alignments, and used CladeAge calibration densities to estimate clade ages in BEAST v.2.1.3. For comparison, we also used the same generated data sets to estimate clade ages with the FBD model implemented in the Sampled Ancestors (Gavryushkina et al. 2014) package for BEAST.

**Phylogenetic Divergence-Time Estimation**

For each of the replicate data sets, the simulated phylogenetic trees were reconstructed, and for each clade in each reconstructed phylogeny, the oldest fossil occurrence was identified. CladeAge calibration densities were calculated for these fossils based on the parameters used in simulations (\( \lambda = 0.08, \mu = 0.04 \), and \( \psi = 1, 0.03, 0.01 \)), and used to constrain node ages according to calibration schemes A–D in divergence-time estimation with BEAST. To exclude the possibility that clades appear younger than their fossils in scheme B (see Fig. 2a), additional uniform calibration densities were used in this scheme for the ages of all clades with fossils. These uniform densities were specified using the fossil age as a hard lower boundary and an unrealistically high upper boundary (arbitrarily placed at 1000 time units) to avoid improper prior distributions. All sequence alignments were divided
into three partitions according to codon position, and for each partition, we used the reversible-jump-based substitution model of Bouckaert et al. (2013) with four gamma-distributed rate categories. For divergence-time estimation with all simulated data sets, we used the lognormal relaxed molecular clock (Drummond et al. 2006). To account for extinction in the diversification process, we applied the birth–death tree prior of Gernhard (2008) with uninformative prior distributions for the birth rate and the relative death rate. We used the reconstructed simulated tree as a starting tree in all analyses, and fixed the tree topology by disallowing all topological changes. For each analysis, 50 million Markov-chain Monte Carlo (MCMC) steps were carried out, which was always sufficient for convergence.

For the analysis of the same data sets with the FBD model implemented in the Sampled Ancestors package for BEAST, we used settings as described above, except that between 100 and 400 million MCMC steps were required for convergence. For comparability with age estimates based on CladeAge calibration densities, we again used only the oldest fossil for each clade. While this reduction of the simulated fossil record to the oldest fossil of each clade represents a violation of the assumptions of the FBD model, we were interested in the performance of the simulated fossil record in this scenario, as in practice the information about the oldest fossil record of a clade is often easier to obtain and implement in the analysis (see section “Discussion”).

The values of diversification rates were fixed to those used to generate the data set, however, the sampling proportion was either fixed according to the sampling rate used in simulations or allowed to be estimated in separate analysis replicates. We also fixed the tree topology of all extant species, while at the same time allowing fossil taxa to attach anywhere within the clade (including its stem lineage) to which they were assigned. This was done by using instances of “CladeConstraint,” a new type of topological constraint for BEAST introduced as part of the Sampled Ancestors package (Gavryushkina et al. 2014), with which in-groups and out-groups can be defined for a given clade, and taxa not listed in either of these groups are free to appear in either of them. For each clade, we specified CladeConstraints that place all extant taxa and fossils of this clade within the in-group and all other extant taxa in the out-group, thus allowing fossils from parent clades to appear outside or within this clade. As the starting tree, we used the reconstructed simulated tree but reattached each clade’s oldest fossil (provided that it had any) to its stem lineage with an additional branch.

To test the robustness of our approach to parameter misspecification, we repeated all analyses in which calibration scheme A was used with CladeAge calibration densities calculated on the basis of net diversification rates and sampling rates that were different from those used to generate data sets. In these analyses, the net diversification rate used for inference was chosen as either 25% larger or smaller than the true sampling rate used for simulations. We also applied the same misspecified rates for net diversification and sampling in separate analyses with the FBD model to allow a comparison of the robustnesses of the CladeAge and FBD models. BEAST input files used for the analysis of simulated data sets are provided as Supplementary Data S1.

Results with Simulated Phylogenies

Our simulations produced phylogenetic trees with root heights between 52.2 and 163.8 time units, with a median height of 84.8 time units. Mean branch rates per tree were between 2.2 \times 10^{-3} and 6.6 \times 10^{-3} (median 3.9 \times 10^{-3}) substitutions per time unit with branch rate variances between 7.8 \times 10^{-7} and 4.4 \times 10^{-5} (median 7.1 \times 10^{-6}), resulting in 5280–15 090 (median 8289) nucleotide substitutions. The sequence alignments contained between 2217 and 2836 (median 2568) variable sites and between 1724 and 2646 (median 2231) parsimony-informative sites, out of a total of 3000 sites per alignment. Simulated fossil records consisted of 165–380 (median 240.5) fossils when generated with a sampling rate of \psi = 0.1, 40 to 123 (median 74.5) fossils with \psi = 0.03, and 10 and 49 (median 24) fossils when a sampling rate of \psi = 0.01 was applied (see Supplementary Fig. S2 available on Dryad for an illustration). Discarding fossils that did not represent the oldest fossil of any clade left between 75 and 113 (median 94) fossils when the sampling rate was either 50% larger or smaller than the true sampling rate used for simulations. We also applied the same misspecified rates for net diversification and sampling in separate analyses with the FBD model to allow a comparison of the robustnesses of the CladeAge and FBD models. BEAST input files used for the analysis of simulated data sets are provided as Supplementary Data S1.

Comparisons of estimated and true node ages are shown in Fig. 3b,c, for all analyses of data sets generated with the intermediate sampling rate of \psi = 0.03 and the uncorrelated clock model (results obtained with \psi = 0.1 or \psi = 0.01, or with the autocorrelated clock model are provided in Supplementary Fig. S3 available on Dryad, and results of robustness tests with misspecified rates are shown in Supplementary Fig. S4 available on Dryad). The difference between results based on MCMC sampling from the prior only (Fig. 3b) and results based on the posterior (Fig. 3c) is most pronounced for young clades where 95% highest posterior density (HPD) intervals (indicated with gray bars in Fig. 3b,c) are much wider when the MCMC sampled from the prior only. This suggests that in combination with a relaxed clock model, sequence data is most informative to determine the age of young nodes, but that the age estimates of older nodes are primarily determined by the specified prior probabilities.

Following Heath et al. (2014) and Gavryushkina et al. (2014), we describe the age estimates for simulated
**Figure 3.** Estimates of node ages in simulated phylogenies, obtained with four CladeAge calibration schemes and the FBD model. Results are based on 50 simulated phylogenetic trees and sequence data, and fossil records simulated with three different sampling rates for each phylogeny.

a) The mean number of fossil constraints used with each scheme, sorted into bins of 20 time units according to fossil age. For schemes B, C, and the FBD model, this number is identical to the number of fossils. In scheme A, some fossils are used for multiple constraints, and in scheme D, not all fossils are used (see Fig. 2). b) Estimated node ages with MCMC sampling from the prior alone, when the fossil record was simulated with the intermediate sampling rate \( \phi = 0.03 \). Node age comparisons based on other sampling rates (\( \phi = 0.01 \) or \( \phi = 0.1 \)) are shown in Supplementary Fig. S3 available on Dryad. c) As b, but using MCMC sampling from the posterior, with sequence data generated with uncorrelated branch rates. Results for data sets with autocorrelated branch rates are shown in Supplementary Fig. S3. d) Mean width of 95% HPD intervals, when using MCMC sampling from the posterior with data sets generated with uncorrelated branch rates. Results are given in bins of 20 time units according to the true node age. e) Percentage of age estimates for which the 95% HPD interval includes the true node age, when sampling from the posterior and using data sets generated with uncorrelated branch rates. As in d), results are presented in bins of 20 time units according to the true node age. See Supplementary Tables S10–S12 available on Dryad for summary statistics for the full set of analyses.


In all cases, MCMC sampling from the posterior decreased the mean width of 95% HPD intervals, compared to analyses using the prior probability alone. The percentage of 95% HPD intervals containing the true node age remained comparable between analyses based on the prior probability alone (92.6–95.2% with scheme A) and analyses using the posterior (90.5–94.9% with scheme A) for data sets generated with uncorrelated branch rates. However, for data sets generated with autocorrelated branch rates, the percentage of 95% HPD intervals containing the true node age decreased substantially when the posterior was used for MCMC sampling (66.2–87.9% with scheme A; Table 1).

Overall, FBD analyses with a fixed sampling rate produced very similar summary statistics to CladeAge analyses with scheme A (Table 1). As for CladeAge analyses, the percentage of 95% HPD intervals containing the true node age was lower with autocorrelated branch rates, and remained around 95% with uncorrelated branch rates or when MCMC sampling from the prior only. In seven out of nine comparisons, however, the 95% HPD intervals were slightly wider when estimated with the FBD model than with CladeAge scheme A. The FBD model, used with a fixed sampling rate, also appeared somewhat less robust to the violation of the assumed clock model (i.e., with branch-rate autocorrelation), except when the lowest sampling rate $\psi=0.01$ was used for data set generation.

In contrast, when the sampling rate was not fixed in FBD analyses, 95% HPD intervals remained similarly wide regardless of the true sampling rate used in data set generation, and relatively small percentages of 95% HPD intervals contained the true node age in analyses using the posterior (Fig. 3c). A particularly low percentage of 95% HPD intervals (63.5–63.8%; Table 1) contained the true node ages in analyses of data sets generated with autocorrelated branch rates and high or intermediate sampling rates ($\psi=0.1$ or $\psi=0.03$). Low accuracy with the FBD model in which sampling rates were not fixed was mostly due to overestimation of intermediate node ages (Fig. 3e, Supplementary Fig. S3b,c). The overestimation of node ages in these analyses coincides with a substantial underestimation of the sampling rate itself (Supplementary Fig. 5). In analyses using the prior alone, the sampling rate was on average estimated as only 30.4, 51.4, and 73.9% of the true sampling rate, when the true sampling rate was $\psi=0.1$, 0.03, or 0.01, respectively. Also when sampling from the posterior in analyses of data sets generated with uncorrelated or autocorrelated branch rates, these percentages remained nearly identical (Supplementary Fig. S5).

Both the CladeAge model and the FBD model appeared mostly robust to misspecification of rate estimates. The specification of a net diversification rate that is either 25% larger or smaller than the net diversification used to generate data sets has very little effect on both the mean width of 95% HPD intervals and the percentage of 95% HPD intervals containing the true node age, and this is so for analyses with both

phylogenies with two summary statistics, the mean width of 95% HPD intervals and the percentage of 95% HPD intervals that include the true node age. Shorter 95% HPD intervals indicate greater precision, and the percentage of 95% HPD intervals that include the true node age serves to assess the accuracy of age estimates. If the model used to generate the data is identical to that assumed for divergence-time estimation, and if MCMC sampling has completely converged, 95% of the 95% HPD intervals are expected to include the true node age. For CladeAge analyses of data sets generated with uncorrelated branch rates, a nearly identical model was used for simulation and inference, and the resulting percentage of 95% HPD intervals containing the true node age can therefore serve as an indicator of the optimal calibration scheme to be used with CladeAge. In contrast, the model used in analyses with the FBD differs to a greater extent from the model used to generate data sets, as the FBD model assumes that all, or a randomly sampled set of fossils of a clade are used for calibration, whereas our data sets were reduced to contain only the oldest fossils of each clade. Thus, for FBD analyses of data sets generated with uncorrelated branch rates, the two summary statistics allow to assess the robustness of the FBD model to a violation of the assumed fossil record representation. In addition, the robustness of both CladeAge and FBD analyses to further model violation is indicated by results for data sets generated with autocorrelated branch rates, and by inferences based on misspecified rates of net diversification and sampling.

For all analyses in which rates were either correctly specified or allowed to be estimated, the two summary statistics are listed in Table 1, and illustrated in bins of 20 time units in Fig. 3d,e for data sets generated with the uncorrelated clock model (detailed results for all analyses are given in Supplementary Tables S10–S12). For robustness tests with misspecified rates, the two summary statistics are listed in Supplementary Tables S13–S14.

Among the four calibration schemes A–D, scheme A produced the shortest 95% HPD intervals with data sets based on $\psi=0.1$ or $\psi=0.03$, regardless of whether the MCMC was set to sample from the prior only, or from the posterior, and both with data sets generated with uncorrelated or autocorrelated branch rates. At the same time, the percentage of true node ages included in 95% HPD intervals obtained with scheme A is closer to the expected value of 95% than that of any other calibration scheme. In contrast, scheme B performed slightly better than scheme A for data sets with the lowest sampling rate $\psi=0.01$, as indicated by shorter 95% HPD intervals and a greater percentage of true node ages included within them. However, when scheme B was used for the analysis of data sets generated with uncorrelated branch rates, the accuracy of age estimates decreased with node age, and for nodes with a true age between 80 and 100 time units, only 76.2% of the 95% HPD intervals contained their true age (Fig. 3e, Supplementary Table S11 available on Dryad).
The FBD model performed better than CladeAge in analyses of data sets generated with a low true sampling rate of 50% too low in the CladeAge calibration scheme A (Supplementary Table S13). The strongest effects of parameter misspecification were found when the true sampling rate used in simulations. In this case, node ages tended to be overestimated (Supplementary Text S2 available on Dryad), in South and Central America (Cichlinae), and on Madagascar (Ptychochrominiae). In addition, the most ancestral subfamily Erythrininae consists of two genera, one of which occurs in Southern India and Sri Lanka and another is endemic to Madagascar (Sparks and Smith 2004). As the distribution of cichlids is mostly limited to landmasses of the former supercontinent Gondwana, their biogeography is traditionally considered a product of Gondwanan vicariance (Chakrabarty 2004; Sparks and Smith 2005; Azuma et al. 2008; Smith et al. 2008). In this scenario, the divergence of African and South American cichlids must have occurred before or during the breakup of the two continents about 100 Ma (Heine et al. 2013), and Indian and Malagassy cichlids must have separated before 85 Ma (Ali and Aitchison 2008). Regardless of whether cichlids colonized Africa or South America first, this colonization should have occurred before 120 Ma, as Madagascar and India were separated by that time from both Africa and Antarctica, through which a connection to South America could have existed (Ali and Aitchison 2011).

### Applying CladeAge Calibration Densities to Resolve Divergence Times of Cichlid Fishes

**Phylogeny of Cichlidae**

Fishes of the percomorph family Cichlidae are known for their extraordinary species richness, which includes the replicated adaptive radiations in the Great Lakes of East Africa (Salzburger et al. 2014). Three reciprocally monophyletic subfamilies occur in Africa and the Middle East (Pseudocrenilabrinae; see Supplementary Text S2 available on Dryad), in South and Central America (Cichlinae), and on Madagascar (Ptychochrominiae). In addition, the most ancestral subfamily Erythrininae consists of two genera, one of which occurs in Southern India and Sri Lanka and another is endemic to Madagascar (Sparks and Smith 2004). As the distribution of cichlids is mostly limited to landmasses of the former supercontinent Gondwana, their biogeography is traditionally considered a product of Gondwanan vicariance (Chakrabarty 2004; Sparks and Smith 2005; Azuma et al. 2008; Smith et al. 2008). In this scenario, the divergence of African and South American cichlids must have occurred before or during the breakup of the two continents about 100 Ma (Heine et al. 2013), and Indian and Malagassy cichlids must have separated before 85 Ma (Ali and Aitchison 2008). Regardless of whether cichlids colonized Africa or South America first, this colonization should have occurred before 120 Ma, as Madagascar and India were separated by that time from both Africa and Antarctica, through which a connection to South America could have existed previously (Ali and Aitchison 2008; Ali and Krause 2011). However, a Gondwanan history is not supported by the fossil record of Cichlidae. Their earliest record is provided by *Mahengechromis* spp. from Tanzania.

### Table 1. Estimated node ages for simulated phylogenies, based on four CladeAge calibration schemes and the FBD model

<table>
<thead>
<tr>
<th>Clock model</th>
<th>Scheme A</th>
<th>Scheme B</th>
<th>Scheme C</th>
<th>Scheme D</th>
<th>FBD (fixed $\psi$)</th>
<th>FBD (est. $\psi$)</th>
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<tr>
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<td>8.00</td>
<td>11.39</td>
<td>12.63</td>
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<table>
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<tr>
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<th>Scheme A</th>
<th>Scheme B</th>
<th>Scheme C</th>
<th>Scheme D</th>
<th>FBD (fixed $\psi$)</th>
<th>FBD (est. $\psi$)</th>
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<tbody>
<tr>
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<td>95.8</td>
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<td>86.4</td>
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<td>70.3</td>
<td>57.0</td>
<td>54.3</td>
<td>70.3</td>
<td>67.7</td>
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**Notes:** Divergence-time estimation was based on MCMC sampling from prior probabilities alone, or in combination with the likelihood of sequence data simulated with uncorrelated or autocorrelated branch rates. Fossil records were simulated with three different sampling rates $\psi = 0.1, 0.03, 0.01$. For the FBD model, results are shown for analyses in which the sampling rate $\psi$ was either fixed or allowed to be estimated.
Of the species included in the data set, 578 were members of the family Cichlidae, 516 were members of other families of Cohort Euteleostomorpha, and 93 species were members of Cohort Otomorpha, the sister lineage of Euteleostomorpha, and were collectively used as an out-group in our phylogenetic analysis. Out of a total of 11,050 sequences, 9970 were retrieved from the NCBI nucleotide database (www.ncbi.nlm.nih.gov/nuccore), 85 were obtained from annotated genomes of the Ensembl database (Cunningham et al. 2015), 5 mitochondrial sequences were downloaded from the Barcode of Life Data System (BOLD; Ratnasingham and Hebert 2007), and 328 sequences were identified from other non-annotated genomic resources (Supplementary Tables S2–S7 available on Dryad). In addition, 662 sequences of 19 markers were produced specifically for this study, including 26 mitochondrial genomes (see Supplementary Text S1 available on Dryad for sequencing protocols and Supplementary Tables S2 and S5 for accession numbers).

For each marker, sequences were aligned with MAFFT v.7.122b (Katoh and Standley 2013), visually inspected, and poorly aligned regions were removed. Alignments were subsequently divided into primary data blocks according to codon position. In combination, the alignments included 35,817 sites with an overall proportion of undetermined characters of 82.84%. Assuming a general time-reversible model of sequence evolution with gamma-distributed rate variation among sites (GTR+Γ), the fit of partitioning schemes was assessed according to the Bayesian Information Criterion (BIC). The best-fitting partitioning scheme determined with the greedy algorithm implemented in PartitionFinder v.1.0.1 (Landefur et al. 2012) combined primary data blocks into 30 different partitions (Supplementary Table S8 available on Dryad).

Maximum likelihood (ML) phylogenetic tree search was conducted with RAxML v.7.3.1 (Stamatakis 2006; Pfeiffer and Stamatakis 2010), applying unlinked “GTRCAT” models of sequence evolution for each of the 30 partitions. Topological node support was evaluated with RAxML’s rapid bootstrap analysis (option “-f a”) and the “autoMRE” automatic stopping criterion (Stamatakis et al. 2008). Based on the ML phylogeny, we identified 455 clades that were potentially suitable for time calibration, as they were supported by high bootstrap values in our study (≥93% with only six exceptions) and corroborated by previously published molecular phylogenetic analyses and morphological synapomorphies (Supplementary Fig. S6 and Supplementary Text S2 available on Dryad). Of the 455 clades, 362 were mutually exclusive and in their sum represented nearly the entire species richness of Clupeocephala (≥99.5%; Supplementary Table S9 available on Dryad). This is important in analyses with CladeAge calibration densities, as it ensures that the sister groups of clades used for time calibration are present in the phylogeny, even if their identity is not known prior to the phylogenetic analysis. If sister groups of clades with fossils were instead missing from

(family Cichlidae) of the teleost superclade Clupeocephala (see Betancur-R et al. 2013).
the taxon set, the CladeAge calibration density based on their fossil record would not, as intended, apply to the age of origin of these clades, but only to the ages of origin of more inclusive clades, potentially leading to underestimation of divergence ages. To illustrate this point, imagine that clade A was missing in Fig. 2a, then a CladeAge calibration density based on fossil F2 could not be used to calibrate the age of origin of clade B (O2), but only those of clades C and D (O3 and O4).

CladeAge Model Parameter Estimation

CladeAge calibration densities are calculated based on estimates of rates of sampling (ψ), net diversification (λ−μ), and turnover (μ/λ). In order to use CladeAge calibration densities for the time calibration of teleost divergences, we obtained estimates for these three parameters from previous studies. Net diversification and turnover rates of teleost fishes were estimated by Santini et al. (2009) as 0.041–0.081 per lineage per million years (L⁻¹myr⁻¹) and 0.0011–0.37 L⁻¹myr⁻¹, respectively. These estimates are comparable to those of a more recent analysis by Rabosky et al. (2013), who estimated a mean net diversification rate of 0.098 L⁻¹myr⁻¹ and a mean turnover rate of 0.294 L⁻¹myr⁻¹ using a Bayesian model of diversification with rate shifts. We here apply the slightly lower diversification rate estimates of Santini et al. (2009) (net diversification rate: 0.041–0.081 L⁻¹myr⁻¹; turnover rate: 0.0011–0.37 L⁻¹myr⁻¹) to calculate CladeAge calibration densities and note that their distributions will tend to be wider, and thus older, than distributions calculated with the rate estimates of Rabosky et al. (2013).

Sampling probabilities have been estimated from the fossil record for a variety of groups and with a wide range of methods. For bony fishes (Osteichthyes) including Clupeocephala, an estimate of the sampling probability was calculated by Foote and Sepkoski (1999) from the frequency ratio $f_2/(f_1f_3)$, where $f_1$, $f_2$, and $f_3$ are the frequencies of genera with stratigraphic ranges of one, two, and three geologic time intervals, respectively (Foote and Raup 1996). The resulting estimate of 0.15–0.30 (Foote and Miller 2007) thus represents the probability that one or more members of a given genus are sampled from a geological time interval, and Foote and Sepkoski (1999) used 5 myr time intervals in their analysis. As CladeAge calibration densities are calculated from instantaneous species-level sampling rates, we translated the genus-level sampling probability estimate of Foote and Sepkoski (1999) as follows. We downloaded the list of all valid scientific names of bony fishes from the Catalogue of Life database (Roskov et al. 2015) and determined the frequency distribution of extant bony fish genus sizes from these names. We then used this distribution in combination with species-level sampling rates to simulate bony fish preservation over 5 myr and recorded the proportion of genera that were sampled during this interval. The species-level sampling rate was optimized until the resulting proportion of sampled genera was sufficiently close to the genus-level estimate of Foote and Miller (2007). This optimization was performed separately for the lower and upper bound of estimate of Foote and Miller (2007). We find that species-level instantaneous sampling rates of 0.0066–0.01806 L⁻¹myr⁻¹ provide the best fit to 5 myr genus-level preservation probabilities of bony fishes (under the assumption of constant rates and a constant genus-size frequency distribution) and use this range of sampling rates for the calculation of CladeAge calibration densities. For comparison, and in order to provide species-level estimates for future users of CladeAge, we compiled a comprehensive list of published sampling rates in Supplementary Table S1 available on Dryad, using the above translation where necessary.

Divergence-Time Estimation of Teleost Fishes

We analyzed the published fossil record for each of the 455 strongly supported teleost clades, and identified their first occurrences, the rock formation in which the earliest record was found, as well as the minimum and maximum age of this formation. Detailed information of the fossil record of each clade is given Supplementary Text S2 available on Dryad. According to calibration scheme A, we used first occurrences to define CladeAge calibration densities even if earlier records were known in sister clades, and we reused calibration densities for more inclusive clades if these (i) had no earlier fossil record on their own, but were (ii) either morphologically recognizable or characterized by a discrete geographical distribution so that fossil finds could, in principle, have been assigned to them directly rather than to parental clades only. For example, the Miocene *Nandopsis †woodringi* represents the earliest record of the genus *Nandopsis*, to which it can be assigned based on the presence of lingual cusps on the oral teeth and four anal-fin spines, a character combination which within cichlids is unique to members of this genus (Chakrabarty 2007). However, *Nandopsis †woodringi* also represents the first occurrence of the clade “SCAC+NCAC,” combining the groups “SCAC” (Southern Central American Clade) and “NCAC” (Northern Central American Clade) of López-Fernández et al. (2010) with a total of 19 genera of Neotropical cichlids. This clade is strongly supported by molecular phylogenies (López-Fernández et al. 2010, this study), but is not characterized by known synapomorphies or a geographical distribution that separates it from its potential sister groups. Thus, if stem-group fossils were found of clade “SCAC+NCAC,” these would likely be misassigned to the next more inclusive clade that is morphologically recognizable, in this case the tribe Heroini. A lack of recognizable features for a clade thus effectively reduces its sampling rate to zero. In order to account for this reduction, CladeAge calibration densities were defined exclusively for clades that are morphologically (or in some cases geographically) recognizable. We identified fossil constraints for a total
of 147 clades, including 18 clades within cichlids (see Supplementary Text S2 and Supplementary Fig. S6 available on Dryad).

In order to reduce model complexity and increase computational efficiency of Bayesian phylogenetic inference, eight markers with the greatest proportions of missing sequences were removed from the data set (Supplementary Table S4 available on Dryad). In addition, a total of 80 codon positions with signatures of episodic selection were identified with the mixed effects model of evolution implemented in Hy Phy (Kosakovsky Pond et al. 2005; Murrell et al. 2012) and removed from the alignment. We further collapsed each of the 362 mutually exclusive clades to individual tips, and for each marker we chose sequences of clade members at random to represent the terminal clade. To account for sequence variation within a clade, we repeated random sequence sampling five times, producing five replicate data sets that each included a total of 27,950 sites with 59.3% missing data. Each of the five replicate data sets was used for phylogenetic inference and time calibration with BEAST, on the basis of 147 Clade/Age calibration densities. As for ML analyses, the data set was partitioned according to marker and codon position. Tree topology and branch lengths were linked among partitions, but parameters of the clock and sequence substitution models remained unlinked. We assumed an uncorrelated relaxed molecular clock (Drummond et al. 2006) and applied the reversible-jump-based substitution model of Bouckaert et al. (2013). For each partition, a gamma distribution of among-site rate heterogeneity with four rate categories was assumed. We used the flexible birth–death skyline model (Stadler et al. 2012) with independent diversification rate parameters for the pre-Cretaceous Mesozoic (−145.5 Ma), the Early (145.5–99.6 Ma) and Late Cretaceous (99.6–66.0 Ma), as well as the Cenozoic (−66.0 Ma), and specified a sampling fraction $\rho$ of 0.0335 according to the ratio of tips included in the analysis to the total extant diversity of Clupeocephala. We left the tree topologically unconstrained except for nodes used for time calibration. Justifications for the assumed monophyly of each clade used for time calibration are given in Supplementary Text S2 available on Dryad. For each data set, 600 million MCMC states were sampled, and we repeated the analysis without data, sampling from the prior to ensure that conclusions were not predetermined by the prior. Convergence was assessed by comparing MCMC traces among run replicates, and was verified by running additional analyses (650 million MCMC states) of the same model, but with substitution rate parameters fixed according to estimates obtained with ModelTest v2.0 (Posada 2008).

**Resulting Timeline of Cichlid and Teleost Divergences**

Comparison of MCMC traces of the five run replicates suggested that all replicates had converged at the same posterior distribution, which was confirmed by the additional analyses with fixed substitution rate parameters. After discarding 60 million MCMC generation of each replicate run as burn-in, we produced a joint posterior tree sample with 1000 trees per replicate, and generated a maximum clade credibility (MCC) tree from the combined distribution of 5000 posterior trees. The inferred timeline of cichlid and out-group teleost divergences is summarized in Fig. 4a and shown in more detail in Supplementary Fig. S7 available on Dryad.

The MCC tree topology was well supported, and corroborates the higher level groupings found in recent large-scale Bayesian phylogenies of teleost fishes (Betancur-R et al. 2012; Near et al. 2013), as well as previously identified relationships within cichlid fishes (e.g. Schwarzer et al. 2009; López-Fernández et al. 2010; Friedman et al. 2013; Meyer et al. 2015). With a single exception (Centropomidae), all unconstrained clades from our list of 455 clades were recovered as monophyletic. According to the MCC timeline, crown Clupeocephala originated around 207.8 Ma (95% HPD: 234.5–186.2 Ma), crown Acanthomorphata appeared 144.2 Ma (95% HPD: 138.4–130.6 Ma), and South American Cichlinae and African Pseudocrenilabrinae diverged about 81.6 Ma (95% HPD: 89.4–74.0 Ma). In comparison, the age of crown Clupeocephala appears markedly older in the studies of Betancur-R et al. (2013) and Near et al. (2013), who estimated their origin at about 251.1 (95% HPD: 226.0–228.1 Ma) and 275.3 Ma (95% HPD: 307.5–242.0 Ma), respectively. The divergence date of Acanthomorphata is more comparable between the three studies, and was estimated at 164.9 Ma (95% HPD: 154.0–132.0 Ma) in Near et al. (2013), and around 142.5 Ma (95% HPD: 154.0–132.0 Ma) in Near et al. (2013), less than 2 myr younger than the estimate resulting from our time-calibrated phylogeny. For relatively younger divergences, however, our estimates appear older than those of Betancur-R et al. (2013), Near et al. (2013), and Friedman et al. (2013): The divergence of South American Cichlinae and African Pseudocrenilabrinae was estimated at 62.0 Ma (95% HPD: 70.4–53.9 Ma) in Betancur-R et al. (2013), at 46.4 Ma (95% HPD: 54.9–40.9 Ma) in Friedman et al. (2013), and as young as 26.0 Ma (95% HPD: 29.6–22.0 Ma) in Near et al. (2013). Notably even the older limit of the 95% HPD of the latter estimate is predated by at least five well-characterized fossil species within crown Pseudocrenilabrinae (Murray 2001b) and crown Cichlinae (Malabarba et al. 2006, 2010; Malabarba and Malabarba 2008; Alano Perez et al. 2010) and thus is in strong disagreement with the cichlid fossil record. Thus, the consistent application of CladeAge calibration densities to all clades with known fossil records appears to remove conflicts of comparatively younger node ages with the fossil record, while at the same time reducing the length of ghost lineages for relatively older clades. A more detailed comparison of clade age estimates between these studies is shown in Supplementary Fig. S8 available on Dryad.
Ma (Pseudocrenilabrinae and South American Cichlinae) is estimated at 81.8 Ma (95% HPD: 89.4–74.0 Ma), long after the final separation of the continents (95% HPD: 93.8–77.8 Ma), substantially later than the latest possible separation of Madagascar from both continents (95% HPD: 57.9–45.2 Ma).

Figure 4. Time-calibrated phylogenetic tree of teleost fishes and plate tectonic reconstructions. a) MCC phylogeny of cichlid and out-group teleost fishes, time-calibrated with 147 fossil constraints. Dashed lines mark continental breakup events of Gondwanan landmasses. Colors of terminal branches indicate the center of diversity for clades occurring exclusively in freshwater or brackish water habitats, using the same color code as in b). Groups with marine representatives are shown in light gray. Colors of internal branches indicate past distributions according to the fossil record, with darker colors indicating more recent times. Large branches indicate equal parsimony of multiple scenarios. Six dispersal events with particularly strong evidence for transoceanic dispersal are highlighted: (i) Since the two oldest cichlid subfamilies, Etroplinae and Ptychochrominae, occur on Madagascar (and Ptychochrominae being endemic to Madagascar), this landmass represents the most likely origin of family Cichlidae. According to our timeline of teleost diversifications, dispersal of the clade combining the younger two subfamilies Pseudocrenilabrinae and Cichlinae from Madagascar to either Africa or South America occurred after 85.7 Ma (95% HPD: 89.4–74.0 Ma), substantially later than the latest possible separation of Madagascar from both continents around 120 Ma (Ali and Aitchison 2008; Ali and Krause 2011). Since Madagascar was geographically closer to Africa than to South America at 85.7 Ma, we assume that cichlids dispersed to Africa before reaching South America. (ii) The divergence event of African Pseudocrenilabrinae and South American Cichlinae is estimated at 81.8 Ma (95% HPD: 89.4–74.0 Ma), long after the final separation of the two continents at 104–100 Ma (Heine et al. 2013). (iii) Within the cichlid subfamily Etroplinae, the Indian genus Etroplus and the Malagasy genus Pantofylus diverged about 69.5 Ma (95% HPD: 85.9–53.1 Ma), probably after the breakup of India and Madagascar between 90–85 Ma (Ali and Aitchison 2008). (iv) The predominantly American Cyprinodontidae include multiple Old World lineages, such as the clade combining the Mediterranean Aphanius and Valencioidae, which diverged from South American relatives about 50.6 Ma (95% HPD: 61.4–39.3 Ma). (v) With an estimated crown age 80.8 Ma (95% HPD: 92.5–69.7 Ma), the cyprinodontiform suborder Aplocheiloidae includes American, African, Malagasy, and Indian lineages of strict freshwater fishes. The aplocheilid sister genera Pachypnis and Aplocheilus occur in Madagascar and Asia, respectively, and diverged about 42.8 Ma (95% HPD: 60.4–23.8 Ma). (vi) The Mexican Lactantia enigmaticus appears deeply nested within African freshwater Siluriformes, but separated about 49.6 Ma (95% HPD: 57.9–45.2 Ma).
While our age estimates for cichlid divergences are generally older than those obtained in Betancur-R et al. (2013) and Near et al. (2013), they are still markedly too young to support strictly Gondwanan vicariance between Indian, Malagasy, Neotropical, and African groups of cichlid fishes included in our phylogeny (Fig. 4). Notably, the divergence of Neotropical Cichlinae and African Pseudocrenilabrinae, estimated at 81.6 Ma, appears to have occurred about 20 myr after the final separation of the American and African landmasses at 104–100 Ma (Heine et al. 2013).

Comparison of these results with those obtained by MCMC sampling from the prior distribution shows that the divergence estimate for African and South American cichlids is driven by the molecular sequence data. The prior distribution is markedly older than the divergence date posterior for this split, with 34.4% of the prior samples being younger than 100 Ma, whereas the same is true for 99.9% of the posterior distribution (Fig. 4d). As a consequence, the Bayes factor in favour of a divergence younger than 100 Ma is 752, which can be considered overwhelming evidence (Kass and Raftery 1995) supporting the trans-Atlantic dispersal scenario, as opposed to Gondwanan vicariance. Our results thus agree with those of Friedman et al. (2013), who found support for trans-Atlantic dispersal based on three different approaches, including an analysis of the temporal distribution of cichlid-bearing fossil horizons and an analysis of the distribution of out-group ages in addition to their time-calibrated phylogeny.

**DISCUSSION**

**Divergence-Time Estimation with CladeAge Calibration Densities**

Our analyses of data sets simulated under a wide range of conditions show that CladeAge calibration densities allow bias-free estimation of divergence times. The comparison of four different calibration schemes confirms that calibration scheme A (Fig. 2a) performs better than other schemes and should thus be applied whenever CladeAge calibration densities are used for time calibration. This implies that for each clade, the oldest fossil record of this clade should be used as a time constraint, regardless of whether the fossil is also the earliest record of other (nested or parental) clades, and even if the fossil is younger than the oldest fossil record of the clade’s sister group. With calibration scheme A, the CladeAge model produces very similar results to the FBD model with fixed sampling rates, and appears robust to model violation in the form of branch-rate autocorrelation or parameter misspecification by up to 50%, at least with larger numbers of fossil calibrations. We observe that when the sampling rate is not fixed in FBD analyses and only the oldest fossil of each clade is used for time calibration, the sampling rate is often substantially underestimated, leading to wide confidence intervals and overestimation of node ages. This suggests that when the sampling-rate parameter is not fixed in analyses with the FBD model, a rather complete representation of the fossil record should be included in the analysis instead of only the oldest fossil of each clade.
Other approaches have also been developed to utilize the complete known fossil record of clades for phylogenetic divergence-time estimation. Wilkinson et al. (2011) used approximate Bayesian computation to fit a model of speciation and preservation to the numbers of primate fossils known from different Paleocene epochs (Tavaré et al. 2002). The resulting posterior distributions for the ages of two clades were then used as calibration densities in a subsequent phylogenetic node dating analysis of primate sequence data. A more general model was developed by Nowak et al. (2013) to calculate the likelihood of a given “missing interval” (i.e., the duration between clade origin and its first preservation) based on diversification rates estimated from stratigraphic ranges of fossil taxa. The authors implemented this model in the software SNAPE, which further allows the fitting of parametric probability distributions to the calculated likelihoods, so that these probability distributions can then be used as calibration densities in Bayesian divergence-time estimation with BEAST or similar programs. While this method shares similarities with CladeAge, it differs from our approach in that it does not allow user-specified diversification rates, it does not account for uncertainty in rate estimates, and it requires information about the entire fossil record of a clade.

However, for the practical time calibration of higher-level phylogenies, the compilation of the entire fossil record of clades used for calibration may not be feasible than the identification of their oldest reported fossils. A large amount of paleontological literature has been dedicated to determine oldest taxon appearances across the tree of life, and demonstrates the difficulties associated with the identification of these records as well as their ages (Benton 1993; Benton and Donoghue 2007; Hedges and Kumar 2009; Ksepka et al. 2011; Benton et al. 2015). While the Paleobiological Database (www.paleobiodb.org) provides information not only about the oldest fossils of clades, but about a much larger number of fossils for many clades, the taxonomic assignment and age ranges given for these fossils are usually far less well curated than those of first taxon appearances that are dealt with in dedicated literature. In addition, neither the paleontological literature nor databases that use information from this literature are likely to provide an unbiased representation of the age distribution of fossils within a clade. Instead, new discoveries of fossils that extend the known age range of clades are almost guaranteed to be reported in the literature (and as a consequence also in databases), whereas younger findings may often not be considered worthy of publication. Thus, available information about the oldest records of clades is likely to be better curated and less biased than the collective data for all its fossils. Furthermore, since fossils are added as tips in analyses with the FBD model, the computational demand increases with the number of fossils, and may be prohibitive for higher level phylogenies of clades with rich fossil records.

On the other hand, the specification of CladeAge calibration densities is computationally not more demanding than any other calibration density used in node dating, and is thus suitable for large-scale phylogenetic analyses. In contrast to the current implementations of the FBD model (Gavryushkina et al. 2014; Zhang et al. 2016), the CladeAge method can also account for different sampling rates in different coexisting clades, as calibration densities are independently specified for each calibrated clade. This is likely to improve age estimates in higher level phylogenies such as the vertebrate tree of life, where substantial differences in these rates have previously been demonstrated (summarized in Supplementary Table S1 available on Dryad). Thus, a strategy for the Bayesian divergence-time estimation of large trees like the vertebrate tree of life could include the following steps. First, representative groups with suitable fossil records could be chosen from several of the higher taxa (i.e., mammals, birds, teleost fishes) included in the phylogeny, and could be used to estimate sampling rate parameters for these taxa. This could be done either using information from the fossil record alone (Silvestro et al. 2014; Starrfelt and Liow 2016), or in combination with molecular data, for example, by means of separate FBD analyses for each of the representative groups. Then, the resulting rate estimates could be used to calibrate the ages of clades within the higher taxa, under the assumption that the true rates of these clades are comparable to those estimated from representative groups. Finally, divergence-time estimation of the complete phylogeny could be carried out on the basis of CladeAge calibration densities.

**Trans-Atlantic Dispersal of Cichlid Fishes**

Using CladeAge calibration densities for 147 clades of teleost fishes, we found strong evidence for transoceanic dispersal, not only in cichlid fishes, but also in several other groups of freshwater fishes, including Cyprinodontoidae, Aplocheiloidei, and Siluroidei (Fig. 4). The calibration densities used in our analysis were based on estimates of sampling and diversification rates by Foote and Sepkoski (1999) and Santini et al. (2009), and our results could thus be biased if these estimates are inaccurate. We note, however, that the rate estimates used by us are low compared to those of other clades (see Supplementary Table S1 available on Dryad) or those obtained by other authors (Rabosky et al. 2013). Thus, the used estimates for sampling and diversification rates are more likely underestimates than overestimates, which would lead to calibration densities that are wider than they should be, and therefore to overestimated ages of clades in our phylogeny.

For several further reasons, we would expect our age estimates to be rather over- than underestimated. First, our simulations have shown that age estimates obtained with CladeAge calibration densities (or the FBD model) can appear too old when the sampling rate is low...
and the assumed clock model is violated, for example, by branch-rate autocorrelation (Supplementary Fig. S3b available on Dryad). In practice, autocorrelation of branch-specific substitution rates can rarely be excluded, and may be present also in teleost fishes, as many factors influencing rate variation are heritable in vertebrates (Nabholz et al. 2008; Amster and Sella 2016).

Second, while our molecular data set is composed of both nuclear and mitochondrial sequences, nuclear sequences were available to a greater degree for taxa outside of Cichlidae, and may be underrepresented for clades within this family. As the substitution rate of mitochondrial markers is usually higher than that of nuclear markers (Brown et al. 1979), overall genetic divergences between cichlids might appear higher than those of other groups that have a similar age but a lower proportion of missing data in nuclear markers. Third, by using concatenation of all sequence markers rather than the multispecies coalescent model (which would have been computationally infeasible), we essentially ignored potential variation between gene trees due to incomplete lineage sorting, which has been shown to lead to inflated age estimates in several studies (McCormack et al. 2011; Colombo et al. 2015). Fourth, in contrast to the authors of a previous study on cichlid divergence times (Friedman et al. 2013), we assumed nested positions of the oldest Neotropical and African cichlid fossils within the subfamilies Cichlinae and Pseudochrominae, respectively, rather than positions in their stem groups. Specifically, we assumed a position within genus Gymnogeophagus for Gymnogeophagus roccinicus, a position of Tremembichthys garciae within Cichlasomatin, a position of Plesiheros chautilius within Heroini, a position of Protocara argentina within a clade formed by the extant genera Teleocichla and Crenicichla, and a position of Mahengechromis spp. within the African tribe Hemichromini, which are all supported by morphological analyses (Murray 2008b, 2001b; Malabarba and Malabarba 2008; Smith et al. 2008; Alano Perez et al. 2010; Malabarba et al. 2010). If these nested positions should be unjustified (as suggested by Friedman et al. 2013), even younger ages of cichlid divergences would be expected.

On the other hand, we assumed the age of the earliest Neotropical cichlid fossils Gymnogeophagus roccinicus, Plesiheros chautilius, and Protocara argentina to be slightly lower (45.4–39.9 Ma) than other authors (48.6 Ma; Alano Perez et al. 2010; Malabarba et al. 2010, 2014), as we consider the older age estimate for these fossils unfounded and outdated (a detailed discussion of the age of these fossils is provided in Supplementary Text S2 available on Dryad). However, even if these fossils were in fact 48.6 myr old, their age would not be in conflict with our time-calibrated phylogeny (Fig. 4a, Supplementary Fig. 7 available on Dryad), according to which the genus Gymnogeophagus originated around 54.1 Ma (95% HPD: 63.0–45.3 Ma), the tribe Heroini appeared 67.5 Ma (95% HPD: 75.8–59.7 Ma), and the clade combining Teleocichla and Crenicichla originated 48.2 Ma (95% HPD: 56.3–41.3 Ma). Therefore, we assume that using the age of 48.6 Ma for these fossils would have had negligible impact on the inferred timeline of cichlid diversification. Taken together, our analyses strongly support trans-Atlantic dispersal of cichlids, between 89.4 and 74.0 Ma, or earlier.

**Conclusion**

In this study, we have presented a new approach to Bayesian divergence-time estimation that is directly based on probabilities of fossil sampling, and thus overcomes previous shortcomings of node dating. We have demonstrated that our approach allows accurate and precise time calibration and represents a viable alternative to the FBD model when estimates for the rates of fossil sampling and diversification are available a priori. Our approach is particularly suitable for the time calibration of large-scale phylogenies, and we have outlined strategies how to use our method in order to account for variable rates of sampling and diversification in different clades. By applying our approach to a detailed phylogeny of teleost fishes, we have shown that freshwater fishes in several clades have diverged long after the separation of the continents on which they live, which implies that fishes from these clades have successfully traversed oceanic environments despite their adaptations to a freshwater lifestyle. These examples include the trans-Atlantic dispersal of cichlid fishes, which led to their colonization of South and Central American rivers and lakes, and to the radiation of Neotropical cichlid fishes into over 600 extant species. We have implemented our approach in the CladeAge package for BEAST (Bouckaert et al. 2014), which is freely available at www.beast2.org.

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**Supplementary Material**

Data available from the Dryad Digital Repository: http://dx.doi.org/10.5061/dryad.1q994.

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